



ALTERNATE DISPUTE RESOLUTION - Contract Dispute Protocol

1. Purpose

The purpose of this protocol is to set out a process for the Innisfil Public Library and its Vendors to follow when there is a contract dispute.

2. Scope of the Contract Dispute Process

The Contract Dispute Protocol process provides an opportunity for the Library and its Vendors to remedy any contract dispute in an expedient manner. The process is intended to help resolve issues that involve no significant factual or interpretive disagreement between the parties. It is not intended to resolve fundamental disputes over facts or legal rights and obligations, or to establish a mechanism to adjudicate such disputes.

The process provided for in this protocol is separate and distinct from any dispute resolution processes which may be provided for under applicable trade agreements. If a proponent wishes to dispute a matter or bring a complaint under an applicable trade agreement, the proponent must follow the process set out in the trade agreement, which may differ from the process set out in this protocol.

3. Restrictions

The progress of any project shall not be delayed by a request for use of the ADR Bid Dispute Process, unless recommended by the involved Manager and the Chief Executive Officer.

4. Contract Dispute Review Committee (CDRC)

The Library will establish a Contract Dispute Review Committee (the "CDRC") for the purpose of making determinations under this protocol. The CDRC will consist of the Library's Chief Executive Officer (CEO) or designate, the Manager of the respective service area, the Library's/Town's legal counsel and the Town's Supervisor of Purchasing or delegate.

5. Contract Dispute Protocol Process

5.1 The project leader and/or contract administrator shall contact and verbally attempt to resolve the dispute by working directly with the Vendor's lead contract administrator or if applicable, the on-site supervisor.

- 5.2 The project leader and/or contract administrator shall issue a Library/Town prescribed vendor performance report to the Vendor outlining the contract dispute issue(s) not resolved in the previous step and the Vendor shall fill out the vendor section of the report and supply it back to the project leader and/or contract administrator outlining their response to the dispute issue(s) and a proposed corrective action plan within ten (10) days of the report being sent. The project leader and/or contract administrator will review, approve (if acceptable or work to agree on an appropriate corrective action plan) within ten (10) days of the report being provided back from the Vendor, and monitor the compliance of the Vendor to the agreed-upon corrective action plan. A copy of the completed report shall be issued to the Library's Purchasing Team and the Town's Purchasing Services as a record of the agreed-upon plan.
- 5.3 Should the previous step not be successful, the project leader and/or contract administrator shall request, in writing, within ten (10) days of the failed previous step, a written explanation from the Vendor for the non-compliance to the approved corrective action plan with a deadline to supply such response within ten (10) days of the written communication being sent.
- 5.4 Should the previous step not result in receipt of an excusable explanation of which shall be determined at the sole discretion of the project leader and/or contract administrator, or if the written explanation is not received by the due date, then a formal meeting may be requested by the Library within ten (10) days of the deadline to submit such written explanation. At the point that the request for the meeting is made, the Library's/Town's project leader and/or contract administrator; and the Vendor shall both provide a written summary of their position for the CDRC to review. Within fourteen (14) days of the request, or such later date as may be mutually agreed upon, the meeting will take place between the Vendor and the CDRC.
- 5.5 Following the meeting between the Vendor and the CDRC, the CDRC will make a determination in respect of the contract dispute and issue a response to the Vendor as follows:
- (a) If the CDRC finds that the Vendor's position in respect of the dispute does not have merit, then it will issue a response to the Vendor indicating that the CDRC has reviewed its position and provide reasons for its determination; or
 - (b) If the CDRC finds that the Vendor's concerns in respect of the contract dispute have merit, then it will further determine the appropriate resolution to the matter. In making its determination, the CDRC may consider any factors it considers relevant.
- 5.6 The determination of the CDRC is the final determination under the Contract Dispute Protocol process. If the Vendor is not satisfied with the response of the CDRC, the Vendor may consider its other options, including challenges under applicable trade agreements and/or governing laws.